BARDA Industry Day
Washington, DC

Grants and Cooperative Agreements

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Grants and Cooperative Agreements
The principal purpose of the transaction is the transfer of money, property, services or anything of value to accomplish a public purpose of support or stimulation authorized by Federal statute. The primary beneficiary under a grant or cooperative agreement is the public, as opposed to the Federal Government.

Contracts
The principal purpose of a transaction is acquisition, by purchase, lease, or barter, of property or services for the direct benefit or use of the Federal Government.
What Are Grants and Cooperative Agreements

• What is a grant?

A federal grant is an award of financial assistance from a federal agency to a recipient to carry out a public purpose of support or stimulation authorized by a law of the United States.

A federal grant may not be used to acquire property or services for the federal government's direct benefit.

• What is a cooperative agreement?

A grant (financial assistance) with substantial involvement.
Federal Spending FY 2012 Reported on USASpending

- **Grants** - $496.1B
- **Contracts** - $381.2B

- Research and Development funds
  - Supplement not supplant
- Conference Grants
  - support
- Grantees may contract with agencies
  - follow grantee policies
Where to find opportunities

HHS Grant and Funding
http://www.hhs.gov/grants

GetOpportunityList –
http://grants.gov/applicants/email_subscription.jsp

HHS Grant Forecast
http://www.acf.hhs.gov/hhsgrantsforecast/

Catalog of Federal Domestic Assistance
https://www.cfda.gov/

USA Spending
http://www.usaspending.gov/
Eligibility

HHS has the capability to issue grant awards to for-profit (commercial) organization if...

The statute doesn’t restrict eligibility to certain types of organizations, such as non-profits, states.

Organization must have specific authorization to award to foreign organizations
• Funding Opportunity Announcement posted to grants.gov
• At least 30 days to respond – all applications submitted through grants.gov
• Application review takes between 3-6 weeks depending on number of applications
• Negotiations
• Issuing of Awards
• Award Monitoring
• Closeout
Registering for Grants

Systems for Award Management

https://www.sam.gov/portal/public/SAM/

DUNS number

http://fedgov.dnb.com/webform

Grants.gov – allow 10 working days

http://grants.gov/applicants/get_registered.jsp
Application Differences

Grant
• You provide scope of work
• Benchmarks/outcomes
• Funding
  No Fee/Profit
  FAR for allowable costs
• HHS Grants Policy Statement
• Reporting
  audit
  fiscal
Managing Grants: Pre-Award, Post-Award & Closeout

Pre-Award
• Grants.gov
• Competitive Process –
  ─ Peer Review
  ─ Pre-Award Conferences
  ─ Cost Analysis & Negotiation
    • Reasonableness
    • Indirect Rates
    • Cost Allocation Plans

Post-Award
• Kickoff Meeting
• Scope of Work
• Binding Terms and Conditions
• Advance Payments
• Reporting Requirements
• Budget and Project Dates
• Prior Approvals
• Award Monitoring
  ─ Site Visits
  ─ Desk Financial Review
  ─ Amendments
  ─ Audit Resolutions
Grant Terms and Conditions

- Scope of Work
- Categorical Budget
- Budget Period
- Project Period
- Program Progress Reporting Requirements
- Financial Reporting Requirements
- Drug Free Workplace
- Human Trafficking
- Special Term and Conditions:
  
i.e., Benchmarks, Deliverables, Cost Reimbursements, Additional Prior Approval Requirements, Increase in frequency of reporting, matching, cost share, human subject, animal research, intellectual property
Thank you!