



*United States Department of*

**Health & Human Services**

Office of the Assistant Secretary for Preparedness and Response



# **OFFICE OF ACQUISITION MANAGEMENT CONTRACTS AND GRANTS**

## **Division of Grants**

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**Grants Management Officer**

# Grants and Contracts

## Grants and Cooperative Agreements

The principal purpose of the transaction is the transfer of money, property, services or anything of value to accomplish a public purpose of support or stimulation authorized by Federal statute. The primary beneficiary under a grant or cooperative agreement is the public, as opposed to the Federal Government.

## Contracts

The principal purpose of a transaction is acquisition, by purchase, lease, or barter, of property or services for the direct benefit or use of the Federal Government.





# What Are Grants and Cooperative Agreements



- What is a grant?

A federal grant is an award of financial assistance from a federal agency to a recipient to carry out a public purpose of support or stimulation authorized by a law of the United States.

A federal grant may not be used to acquire property or services for the federal government's direct benefit

- What is a cooperative agreement?

A grant (financial assistance) with substantial involvement.

## Federal Spending FY 2012 Reported on USASpending

- Grants - \$496.1B
- Contracts - \$381.2B
  
- Research and Development funds
  - Supplement not supplant
- Conference Grants
  - support
- Grantees may contract with agencies
  - follow grantee policies





# Where to find opportunities



## HHS Grant and Funding

<http://www.hhs.gov/grants>

## Get Opportunity List –

[http://grants.gov/applicants/email\\_subscription.jsp](http://grants.gov/applicants/email_subscription.jsp)

## HHS Grant Forecast

<http://www.acf.hhs.gov/hhsgrantsforecast/>

## Catalog of Federal Domestic Assistance

<https://www.cfda.gov/>

## USA Spending

<http://www.usaspending.gov/>



# Eligibility



HHS has the capability to issue grant awards to for-profit (commercial) organization if...

The statute doesn't restrict eligibility to certain types of organizations, such as non-profits, states.

Organization must have specific authorization to award to foreign organizations



# Process



- Funding Opportunity Announcement posted to grants.gov
- At least 30 days to respond – all applications submitted through grants.gov
- Application review takes between 3-6 weeks depending on number of applications
- Negotiations
- Issuing of Awards
- Award Monitoring
- Closeout



# Registering for Grants



## Systems for Award Management

<https://www.sam.gov/portal/public/SAM/>

## DUNS number

<http://fedgov.dnb.com/webform>

## Grants.gov – allow 10 working days

[http://grants.gov/applicants/get\\_registered.jsp](http://grants.gov/applicants/get_registered.jsp)

## Grant

- You provide scope of work
- Benchmarks/outcomes
- Funding
  - No Fee/Profit
  - FAR for allowable costs
- HHS Grants Policy Statement
- Reporting
  - audit
  - fiscal





# Managing Grants: Pre-Award, Post-Award & Closeout



## Pre-Award

- Grants.gov
- Competitive Process –
  - Peer Review
  - Pre-Award Conferences
  - Cost Analysis & Negotiation
    - Reasonableness
    - Indirect Rates
    - Cost Allocation Plans

## Post-Award

- Kickoff Meeting
- Scope of Work
- Binding Terms and Conditions
- Advance Payments
- Reporting Requirements
- Budget and Project Dates
- Prior Approvals
- Award Monitoring
  - Site Visits
  - Desk Financial Review
  - Amendments
  - Audit Resolutions



## Grant Terms and Conditions

- Scope of Work
- Categorical Budget
- Budget Period
- Project Period
- Program Progress Reporting Requirements
- Financial Reporting Requirements
- Drug Free Workplace
- Human Trafficking
- Special Term and Conditions:

*i.e., Benchmarks, Deliverables, Cost Reimbursements, Additional Prior Approval Requirements, Increase in frequency of reporting, matching, cost share, human subject, animal research, intellectual property-*



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**Thank you!**